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(ICOMOS)

Cultural Heritage, the UN Sustainable Development Goals, and the New Urban Agenda

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ICOMOS Concept Note for the United Nations Agenda 2030 and the Third United Nations Conference on Housing and Sustainable Urban Development (HABITAT III)

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Abstract

This Concept Note argues for the positive integration of culture and cultural heritage into urban development plans and policies as a way to enhance sustainability of urban areas through heritage, in the context of the newly adopted Agenda 2030 as the 15-year sustainable development goals, the “SDGs” for the world. The consideration of cultural heritage conservation with sustainable development has come about due to a number of conditions, challenges, and opportunities in the current socio-economic, environmental, and political context all of which must influence approaches to heritage conservation, most significantly a recognition of our current urban condition and rate of urbanization. The emerging need for a paradigm shift in the concept of development in more humanistic and ecological terms has meant that culture and cultural heritage/landscape play a critical role in the achievement of this new humanistic and ecological paradigm of a sustainable city. The framework of this paper is oriented to specific tools that go from principles to concrete urban policies outlined here for achieving a sustainable, inclusive city development, using cultural heritage as an asset characterized by specific multidimensional values. A series of initiatives and actions UNESCO and ICOMOS to promote tangible and intangible cultural heritage as a vital aspect of social development and sustainability informs this Concept Note. The paper foregrounds its discussion against these initiatives and documents leading up to the Concept Note and discusses the definition of cultural heritage in its increasingly broader significance. The paper identifies the key contemporary issues in the conservation of urban heritage to be urbanization, globalization and the loss of local identity, unsustainable tourism, natural disasters including climate change related disasters, relationship of heritage with local communities, often inadequate integration of heritage considerations in urban planning, the absence or under evaluation of ecological perspectives in urban settlement planning and policies, and the significance of human-rights-based approaches to cultural and natural heritage processes and outcomes. The paper then goes on to outline some key ways in which culture and cultural heritage contributes to making cities and settlements sustainable as a driver of inclusive economic development; an enabler for social cohesion, inclusion and equity; and in promoting the livability and sustainability of urban areas.

A number of misconceptions regarding cultural heritage are prevalent in development discourse that result in diminishing the role of culture and cultural heritage in urban plans and policies. Some of these are outlined in a section followed by a more detailed discussion of the ways that considerations of culture and cultural heritage/landscape could be integrated into planning, economic development, and urban governance. Maximizing the productivity of urban areas depends on a variety of factors from the creative use of its heritage potential to the creative use of financial instruments and management capacities. Culture and cultural heritage bring a variety of benefits to urban areas with regard to enhancing sustainability that can be maximized or increased through effective and creative planning and design. These benefits range from the embodied energy of reused structures, to the value of compact and dense urban forms, public spaces, recognizing diversity, enhancing social cohesion, and through the re-humanizing of urban areas through walk-able and human-scaled spaces and forms. Cultural heritage/landscape has a key role in the achievement of a *humanistic and ecological paradigm* for cities. This also requires integrated evaluation methods for improving participatory decision-making processes. Finally, the paper proposes key entry points for culture and cultural heritage in the SDGs and the New Urban Agenda and proposes possible indicators to assess the achievement of the desired targets. The paper concludes by recognizing the need for developing detailed guidance documents and tools, as well as evaluation tools, to assist in the complex and important task of integrating culture and cultural heritage /landscape into the SDGs and the New Urban Agenda.

Context

With the conclusion in 2015 of the 15-year Millennium Development Goals, the international community has recently agreed on new global framework for sustainable development. The newly adopted Agenda 2030 is simultaneously culminating in several processes, each of which – whether intentionally or not – has been and will be informed by culture and heritage and each of which will undoubtedly have profound consequences on cultural heritage. Examples include the United Nations Climate Change Conference (“COP21”) held in early December of 2015 in Paris, the Sendai Framework for Disaster Risk Reduction 2015-2030, and the UN Habitat III and the adoption of a New Urban Agenda in October 2016.

One key element of the Agenda 2030 is the newly adopted 15-year sustainable development goals (the “SDGs”) for the world. The SDGs were adopted with much fanfare by the UN General Assembly in New York in September of 2015. Unlike the Millennium Development Goals, as a result of a concerted effort from many sectors, the SDGs contain an explicit heritage target, Target 11.4. It calls for making cities and human settlements inclusive, safe, resilient and sustainable by strengthening efforts to protect and safeguard the world’s cultural and natural heritage.

Target 11.4 is contained within the so-called “Urban SDG,” a set of sustainable development targets related to cities and settlements. There is a close relationship between the articulation of the Urban SDG and the once-every-20-years meeting to establish a New Urban Agenda for world’s cities and settlement being held in October, 2016 called “Habitat III.” Because the heritage target, 11.4, is located within the Urban SDG, the elaboration of the role of heritage in Agenda 2030 is closely linked to the Habitat III process as well.

Furthermore, while appreciating and lauding the recognition of an explicit heritage target, culture, cultural heritage, and historic urban areas contribute to bettering urban life in a variety of ways from providing affordable options for housing (in some cities) through reuse, rehabilitation, restoration, to better public spaces and land regeneration through mixed uses. Hence there is much value in connecting cultural heritage to several of the other goals and targets, because culture and cultural heritage/landscapes are integrally connected to climate change, energy issues, urbanization, health, and poverty reduction.

Whether and how the cultural heritage aspects of the SDGs, the New Urban Agenda and the other elements of the Agenda 2030 are conceived and then implemented at all levels, from local to international, will ultimately greatly affect both the success of the Agenda itself as well as the prospects for conserving the globe’s precious heritage resources.

Background

ICOMOS is deeply committed to the conservation, protection, safeguarding, restoration, and valorization of the world’s tangible and intangible cultural heritage including monuments and sites. The consideration of cultural heritage conservation with sustainable development has come about due to a number of conditions, challenges, and opportunities in the current socio-economic, environmental, and political context all of which must influence approaches to heritage conservation. We now live in what has been termed the urban century, and increasingly heritage has an urban face.

A series of ICOMOS initiatives and actions to promote tangible and intangible cultural heritage as a vital aspect of social development and sustainability informs this Concept Note. Of particular important is the ICOMOS Symposium held in Paris in 2011 in anticipation of the UN Conference on Sustainable Development (the Rio+20 Conference) that resulted in the Paris Declaration on *"Heritage as a Driver of Development."* Earlier efforts include the ICOMOS conference held at Moscow and Suzdal in Russia (1978), one of the sub-themes of which was *"Historical Monuments as a Support to Economic and Social Development."* The scientific symposium entitled *"No Past, No Future"* held in Italy and one in Mexico in 1999 on *"The Wise*

Use of Heritage” further explored the subject. The work and reports of ICOMOS meetings in Nara, Japan (1997), Xi’an, China (2005) and Quebec, Canada (2008) helped to develop the heritage concepts of ‘authenticity’, ‘context’ and ‘spirit of place’. Of particular note here is also *the Burra Charter* first adopted in 1979. In 2014, the ICOMOS General Assembly adopted a resolution to ensure that culture and cultural heritage are acknowledged in the proposed Goals and Targets in SDGs and the Agenda 2030. CIVVIH in particular is committed to recognizing and enhancing the intersection of cultural heritage and resilient and sustainable cities as articulated in *the Valletta Principles for the Safeguarding and Management of Historic Cities, Towns, and Urban Areas* adopted by the 17th ICOMOS General Assembly in 2011, and the foundational text of the *Valletta Position Paper on Historic Cities and Sustainable Urban Development Policies*, 2010. Most recently, the *UNESCO Policy Document for the Integration of a Sustainable Development Perspective into the Processes of the World Heritage Convention* adopted by the General Assembly of the States Parties to the World Heritage Convention in November 2015, is another key text.

Also informing this Concept Note is a number of international initiatives and actions on culture and sustainable development undertaken in recent years. High level documents on culture and development in the last half decade including *The Faro Convention of 2005* (The Council of Europe Framework Convention on the value of cultural heritage for society); the *Creative Economy Reports* (UNCTAD-UNDP 2010; UNDP-UNESCO 2013), *World Report: Investing in Cultural Diversity and Intercultural Dialogue* (UNESCO 2009), *the Bali Promise* (World Culture Forum 2011), *the Paris Declaration of ICOMOS* (2011), the Rio+20 post 2015 agenda document, (2012), *the Hangzhou Declaration* (2013), *Declaration of the 3rd UNESCO World Forum on Culture and the Cultural Industries* (UNESCO 2014a), and *Culture 21: Actions: Commitments on the Role of Culture in Sustainable Cities* (UCLG 2014), *Declaration of Florence, 2014 (Heritage and Landscape as human values - Declaration of Principles and Recommendations on the value of Cultural Legacy and landscape for building a peaceful and democratic society)*, and most recently the *UNESCO Hangzhou Outcomes on Culture for Sustainable Cities (2015)* have contributed to an enormous diversity of practical approaches and solutions designed to leverage heritage in the service of making cities more inclusive and cohesive for all their populations.

It is significant to recognize here that several international development agencies have also been looking at the potential of heritage conservation for sustainable economic development. The recent work on cultural heritage by the World Bank, the Inter-American Bank, and the Asian Development Bank is notable here. It is especially remarkable as an alternative to demolition and rebuilding of decrepit historic districts. The Aga Khan Foundation has been focused on this aspect for several years in their work on historic urban cores working to simultaneously conserve and improve the areas.

At the same time, the processes and discussions of the World Heritage Committee in recent years also reveal contradictions and inconsistencies in resolving the tensions between heritage conservation goals and socio-economic development needs and aspirations of the local communities. They reflect the very real threats to heritage posed by unsustainable development practices and uncontrolled urbanization.

While heritage is explicitly acknowledged in the Urban SDG, heritage is cross-cutting theme that plays a role in all elements of human development. This Concept Note aims to engage with the whole constellation of prevailing global priorities. Several global and UN reports have identified poverty alleviation, inclusive social and economic development that give people the opportunities to improve their lives, and the provision of basic infrastructure as important goals of sustainable human development (Rio +20). Increasing risks due to Climate Change and Adaptation measures and strategies in and around heritage properties require immediate and urgent actions for both mitigation and adaptation. Other global priorities to protect biodiversity, and promote gender equality are also important. These priorities and others are acknowledged within the 17 sets of goals set out in the new SDGs should each be considered in relation to culture and cultural heritage.

What is meant by Cultural Heritage?

Over time, the meaning of cultural heritage has expanded from single monuments identified as objects of art to cultural landscapes, historic cities, and serial properties. Moreover, contemporary practice (ratified by ICOMOS at its Madrid General Assembly more than a decade ago) extends the concept of heritage beyond “tangible heritage,” to the intangible dimensions of heritage as well.¹ This means the entirety of the capital of knowledge derived from the development and experience of human practices, and from the spatial, social and cultural constructions linked to it that may be encapsulated in the word, “memory.”

The unique power of World Heritage sites and other exceptional, iconic properties cannot be doubted. Even so, in order to fully understand the relationship between cultural heritage and sustainable development, including the promotion of social cohesion, inclusion and equity, the idea of “heritage” must thus be understood in its broader, and more expanded sense particularly in urban areas. Physical conservation of selected artifacts alone will not help preserve a community’s cultural heritage but neither can the promotion of development and creative livelihood-related activities be removed from the conservation of these properties. So conservation and development must be approached in a more complex and multidisciplinary/transdisciplinary way to embrace planning and management that resolves the

competing goals of conserving heritage value while integrating with inclusive social and economic development.

Contemporary Issues in Urban Heritage Conservation

Urbanization: In the last two decades, we have experienced and observed unprecedented and incessant urbanization. Asia alone is expected to add 800,000,000 urban residents in the next 15 years. Rapid growth and globalized development have transformed cities especially in many countries of Asia, Africa, and Latin America while urban areas in Western Europe, North America, and Australia have in many instances seen a decline in population and economic activities or significant social changes due to global migration. These have posed persistent threats to the heritage assets and values and the identity of historic urban areas.

Urbanization in many emerging economies has been rapid, incessant, excessive, and often uncontrolled. Rampant growth of cities has resulted in deteriorating urban environments, inadequate water supply and sanitation, a vast increase in poverty and those living in slums without access to many of the social amenities and infrastructure of the city. Such modes of urbanization have also been destructive to local ecologies, natural resources including land and water bodies, and cultural resources including built heritage, building crafts, traditional knowledge and creative industries.

Globalization and Loss of Identity: The globalization processes have resulted in increasing homogenization and standardization across the world. This in turn has led to a growing search for identity. Historic urban areas are the spaces of identity; the memory of the urban system; the stable element in its dynamic change. Places assume a key role in the urbanization processes as they satisfy the need for identity. Cultural heritage plays a key role in the establishing the identity of places and their regeneration.

Tourism: Global tourism to heritage sites and cities, at times excessive and insensitive, has exacerbated the conflicts between global cultures and local beliefs and practices around cultural heritage. The flow of capital, the demands of tourists for familiar modern amenities, and the environmental externalities of tourism have distorted the value of heritage and destroyed the fragile systems that nurtured it. Tourism can introduce or accelerate social change and revive folk arts but also exacerbate commodification. In some historic cities, the pressure to preserve heritage of a particular period for the benefit of tourists has stymied inclusive and sustainable development for local communities and marginalized their needs. Large-scale development, real-estate interests and tourism have often led to a superficial and

decorative view of culture that threatens to erode the fragile and intangible heritage of local communities.

Disasters: In the face of increasing risks of disasters, including climate change related disasters, planning for sustainable development has become all the more urgent. The Sendai Framework for Disaster Risk Reduction 2015-2030 was adopted at the Third UN World Conference in Sendai, Japan, on March 18, 2015. It is the outcome of stakeholder consultations initiated in March 2012 and inter-governmental negotiations from July 2014 to March 2015, supported by the United Nations Office for Disaster Risk Reduction at the request of the UN General Assembly. The Sendai Framework is the first major agreement of the Agenda 2030, with seven targets and four priorities for action. The ICOMOS Committee on Risk Preparedness (ICORP), along with ICCROM and the World Heritage Center, worked closely to see that cultural heritage considerations – both ways to reduce the risk to heritage from natural disasters but also how heritage, including the embedded environmental knowledge in cultural heritage, is a source of disaster risk reduction and increasing the resilience of local communities. As a result, the Sendai Framework represents a successful model within the Agenda 2030 for addressing culture and heritage that must be closely linked to Habitat III, the SDGs and COP21.

Heritage and Local Communities: In some communities, histories of conflict and disasters have resulted in significant demographic changes with migrant and refugee populations in and around iconic heritage properties with no particular connection to them. In others disappearing and devalued traditional livelihoods and intangible heritage have resulted in heritage properties as empty shells filled with shops for tourists or as slums. These instances have pointed repeatedly to the need to connect and integrate tangible and intangible heritage in and around valuable cultural heritage including the traditional materials and techniques for building as well as the intangible practices that make the place meaningful.

Inadequate Urban Planning: Urban planning can be a tool for making the city more inclusive, resilient, safe and sustainable. Planning can also serve as a tool to valorize places to transforming them into catalysts for regeneration of the urban area and the city as laboratories of creativity. Regeneration of cultural landscapes is the starting point for the regeneration of cities in many historic urban areas. Haphazard urban development and inadequate planning is a major challenge to such cultural landscape regeneration.

Ecological Perspectives on Urban Settlements: There is immense benefit in recognizing an urban ecology that sees humans and their bio-physical environments as co-evolved with a capacity to contribute to global and local bio-cultural diversity. Such perspectives recognize the experiences of their residents, and creates bridges between natural and cultural heritage conservation, and urban actors.

Human Rights-based Approaches to Cultural and Natural Heritage Processes and Outcomes: Heightened awareness of the implications of individual collective rights – including cultural rights - is transforming practices. This is relevant for cities, especially in relation to shifting demographics, diaspora, and migrations that characterize our futures. New communities can and often do care for heritage that represents older histories and identities, adding their own meanings and attachments; and at the same time, the connections of communities of diaspora to their shared heritage is not based on their contemporary co-location.

Cultural heritage as a key element of urban sustainability

Heritage, in the complex and expanded way it is understood in the modern era, has an instrumental value serving a function as a touristic marvel, a culture industry, or commercial enterprise (of small and large scale)). But more importantly, the inherent or intrinsic value of cultural heritage is not linked to use or function that it serves but as identity, embodiment of accumulated knowledge, that bonds community to space, determining the spirit of place and source of pride that is of interest for future generations as a non-renewable cultural resource we have been handed down by previous generations.

The 2011 ICOMOS Symposium entitled “Heritage, a driver of development” represented a major, comprehensive effort to address the role of cultural heritage in development. It was attended by nearly 1,200 heritage experts from over 100 countries with the aim of measuring the effects of globalization (and, where applicable, de-industrialization) on communities and heritage; to identify the actions needed not only to protect heritage, but also to ensure that its use, its promotion and enhancement, and its economic, social and cultural value are harnessed to the benefit of local communities and visitors; and to assess the ability of heritage and its inherent values to inspire and to build tomorrow’s societies, curbing the negative effects of globalization. The meeting resulted in the “Declaration of Paris on Heritage as a Driver of Development” (the “Paris Declaration”) that begins with the premise that heritage is a fragile, crucial and non-renewable resource that must be conserved for the benefit of current and future generations. It follows that heritage with its value for identity, and as a repository of historical, cultural and social memory, preserved through its authenticity, integrity and ‘sense of place’ forms a crucial aspect of the development process. The symposium concluded that the key roles heritage has to play in the context of sustainable development relate to social cohesion, wellbeing, creativity, economic appeal, and promoting understanding between communities.

The UNESCO Historic Urban Landscape Recommendation was a landmark in recognizing cultural heritage and sustainable urban development as being intertwined. The HUL is a

comprehensive approach to urbanization and offers a practical means for communities to both protect and carry forward existing culturally rich historic urban areas while also guiding the growth in new development. The HUL is currently being implemented in many diverse places around the world and tracking its use is one of the secondary indicators we have suggested. Landscape can be interpreted as a complex indicator for the sustainability of the city or territory, of the quality of life, vitality of the place, and community's sense of belonging. The International Congress "Culture: Key to Sustainable Development" convened by UNESCO in Hangzhou (China) in 2013 was a foundational event on Culture and Development that resulted in the Hangzhou Declaration. It specifically calls for an integration of culture into the development agenda and lays out the rationale for culture and heritage to play a more central role in economic development, poverty reduction and community interaction. In addition, the Hangzhou Declaration advocates the role of heritage in passing the value and sense of place from generation to generation and as the crucible for creativity. Most recently, a UNESCO expert group document on World Heritage and Sustainable Development is taking a commendable step in integrating cultural heritage conservation agendas with those of sustainable development of local communities and historic urban areas.

The proceedings of these major conferences and their outcome documents reflect the prominent place that cultural heritage and historic conservation stakeholders have assigned to the intersection of heritage and social cohesion, equity, and livability of cities. The Habitat III Issue Paper on "Urban Culture and Heritage" prepared by UNESCO provides another valuable contribution to these questions and to other issues around culture, heritage, and urban sustainability. In a response to this issue paper, ICOMOS identified a number of issues that may need to be highlighted as the Habitat III agenda elaboration process continues. We hold that urban development that integrates cultural heritage is more sustainable, more diverse, and more inclusive. Such approaches help create green economies that enhance sustainability; provide opportunities for employment that help in poverty alleviation. Furthermore, re-use and restoration of the Heritage contribute to promote circular processes that are a key characteristic of sustainable development, and of the next "regenerative" urban economy that initiates the transition towards the de-carbonization of local economies. And finally, heritage conservation integrated with sustainable urban development has the potential to unite people in participatory processes and to further goals of social cohesion and peace.

In view of the foregoing, the value of cultural heritage for promoting sustainability of cities includes:

Cultural Heritage and Creativity as a Driver for Inclusive Economic Development:

- Historic towns, districts, and the historic parts of cities are valuable for their uniqueness, identity, and sense of place:

- Commands higher real estate values for their uniqueness and ‘sense of place’
- Attracts tourism, employment, and local investment
- Results in further improvement to urban areas
- Creativity in historic areas has increasingly become part of culture-led redevelopment of urban areas
- Museums, art galleries, performance theaters, and a variety of cultural festivals make creative cities. From the perspective of a cultural capital framework, such cultural assets are the cultural capital of the city (Throsby, 2001).
- Cities have revitalized their economies by promoting intangible heritage for generating livelihoods
- Sustainable tourism managed and regulated by local communities can provide jobs and employment to local communities and imposes minimally on local culture.
- Places, as examples of commons, can be valorized and managed through social/cooperative economic forms, thus improving the capacity for enhancing self-organization as well as producing or redistributing wealth.

Cultural Heritage as an Enabler for Social Cohesion, Inclusion and Equity

From these, attention to cultural heritage promotes social cohesion, inclusion and equity in the following ways:

- Heritage has the power to strengthen communities where citizens associate the historic environment with a shared identity, attachment to place and everyday life, including people who are minorities, disadvantaged or socially excluded.
- Traditional settlements, with their lasting cultural identity and socio-economic traditions, raise the awareness and pride of citizens in local history and culture no matter where they originate or how they may be adapted.
- The mix of public and private spaces found in traditional settlements engenders social cohesiveness and interaction by providing common spaces for diverse groups to interact.
- Historic cities are by nature functionally and socially mixed, supporting a wide range of complementary activities, and embody multiple cultural values. Historic cities were vibrant, convivial, inspiring and have proved to be supremely adaptable to incremental and harmonious change.
- People are at the heart of heritage conservation policies and projects. Emphasis that ownership of heritage strengthens the social fabric and enhances social well-being.
- Public spaces that may be historic parks or plazas in historic parts of towns, or adjacent to historic monuments provide opportunities for continuity of use and significance while supporting new ones. These urban spaces offer something meaningful and attractive to

the citizens to get involved in the city culture and to participate in public activities among diverse members of the community.

- Historic towns, districts, and the historic parts of the cities are valuable for their uniqueness and sense of place. They help to attract tourism, employment and local investment, fostering the sustainable development of the city. They also engender curiosity and in so doing, build an understanding and acceptance of others' values, history and traditions.
- In many cities, the dense, old neighborhoods with their barely adequate infrastructure provide affordable options for housing where the community networks often helps to provide bridge the inadequacies. Rehabilitating older housing stock can be a much more viable option than tearing them down and building anew, thus contributing to circular local economy, that mimic economic processes of nature..
- Occupations related to cultural heritage, cultural practices, and creativity provide a valuable source of income, dignity, and livelihood.
- Culture based livelihoods have the potential for small and micro-entrepreneurship that empowers local communities and can contribute substantially to poverty alleviation.
- Cultural knowledge related cultural heritage offers a diversity of solutions to a wide range of problems and recognizes diversity as a positive dimension of the urban environment.

Cultural Heritage and Historic quarters of cities can improve livability and sustainability of urban areas:

- Walkability and compactness of urban areas are enhanced in dense historic cities.
- Adaptive re-use of existing built fabric, including buildings, historic urban districts, and towns, can be resource efficient and ecological.
- Traditional building technologies and materials may still be available and relevant and offer low-energy, regional appropriate examples of human adaptability.
- Mixed use and multi-use structures and spaces are an integral aspect of historic towns and districts.
- Public spaces in and around historic monuments or historic parts of towns provide much needed opportunities to engage and interact with the city and its inhabitants; build a sense of community; and provide a space for exchange.
- Indigenous science and local traditional knowledge and practices for ecosystem management including those for disaster risk reduction and response have contributed to environmental sustainability and are important well springs of modern resilience.

- Local and traditional practices of providing basic infrastructural services can be a valuable resource for promoting urban sustainability including traditional movement and transport routes on land and water.

Misconceptions regarding Culture and Cultural Heritage in the Development Context

Several misconceptions exist regarding the engagement of culture and cultural heritage with sustainable development.

- 1) *Economic development is an urgent necessity in emerging and developing economies but heritage conservation is a luxury.* Such a view assumes that economic development and heritage conservation are contradictory goals and integrated solutions are impossible.
- 2) *Heritage Conservation should narrowly focus on conservation and restoration as any mention of development would open doors for rampant commercial development.* The focus in this discussion is on inclusive and sustainable development and not on commercial development motivated by profit margins.
- 3) *The physical nature of a place shapes the behavior of the people in that place, regardless of their understanding, linkage or longevity in a particular place.*
- 4) *Social cohesion creates a common identity of shared cultural norms.* This is not true and certainly not why we need to conserve our tangible and intangible cultural heritage. Societies made of diverse ages, ethnicities, beliefs and ideologies can and are cohesive because of other elements of their identity including culture, sense of place and intangible practices. Well-crafted cultural heritage programs have the potential to affirm and ensure dignity for all and social cohesion, equity, and inclusion.
- 5) *Heritage is something from the past, even stale or obsolete that has nothing to do with contemporary life.* Heritage is completely contemporary by nature it exists in the present. It touches us daily and is the common antecedent for everything we are. Our buildings, streets, public and private spaces, provide the stage upon which we all live our lives. But when the layers of human endeavor and love of place are passed from scene to scene and eon to eon, historic urban areas become the greatest creation and works of humanity. This is the basis for ICOMOS and its members to strongly advocate in this topic of social cohesion, where our goal rests, that heritage conservation and sense of place are essential to a peaceful and just society.
- 6) *Heritage conservation is financially not viable.* However, this does not hold when we deal with the appropriate toolbox, using state-of-the-art quantitative and qualitative methods in assessing economic benefits in short, medium and long term, in terms of different private and public stakeholders for heritage.

Cultural heritage of cities builds sense of belonging and of identity of local communities, and it promotes social cohesion, inclusion and equity. The conservation of cultural heritage and traditional settlement patterns is a key element for inclusive economic and social development and poverty alleviation, for improving the livability and sustainability of urban areas, as well as for the new development of surrounding areas. We can and must conserve our common heritage as human beings and pass them on the future generations not as museum relics but as living changing models of adaptability. We must recognize and celebrate places whose identity is the unique result of its characteristics—the geography, the climate, their materials and their habits. This is the bedrock of sustainability.

Planning, Economic Development and Governance

The globalization processes, with its standardization and homogenization, raises a growing demand for character and identity. The search of identity is becoming a very serious issue in our change time. Places are the spaces of identity. They are the memory itself of the urban system: the permanent element in its dynamic evolution, in the changing/evolving processes. Place-making assumes a key role in the urbanization processes to satisfy the need for identity.

Urban planning all over the world the key tool for making cities more inclusive, resilient, safe and sustainable. In particular, planning is the tool to add value to historic urban areas, transforming them into catalysts for regeneration, and laboratories of creativity. In many cities the regeneration process is started from local cultural heritage and from cultural landscape regeneration. Thus, cultural heritage and cultural landscape are assuming a central role in the city planning, all over the world.

The general goal of planning is to increase the city comprehensive sustainability: economic, the social, and environmental. There is a relationship between the quality of planning choices and the increase of the city economic, social and environmental sustainability and cultural heritage contributes to the increase of urban comprehensive sustainability.

The search for maximizing the city productivity through integrated conservation depends on:

- Creative uses attributed to heritage,
- Creative tools for managing heritage--public, private partnership,
- Philanthropic approaches, and
- The role of the social/cooperative economy including social and micro-credit
- Creativity of new financial tools and funding mechanisms such as crowd-funding.

- Creative synergies and symbiosis, through circular and regenerative economic processes.

They all are related to planning activities/choices. Well-planned historic settlements and well managed places will increase the city comprehensive productivity--economic, social and environmental. This productivity depends on the uses and functional choices for heritage, identified through the planning process. As much as creative uses are assigned to heritage, so much more is economic productivity increased.

The benefits of conservation of the heritage are related to the building and maintenance sector, not only tourism activities. In addition the place itself cannot be separated from creative industries, social and cooperative economic systems, ecological aspects because of the cost associated with recycling buildings, re-use of materials, reduction of energy consumption, waste avoidance, prolonging the cycle life of physical assets, the reduction of the health and other costs of sprawl.

The social impacts of heritage conservation are linked also to the human scale of cities and historic urban areas. This concern is sometimes confused with a rejection of density. Traditional settlement patterns and historic cities, however, often yield among the densest settlement patterns. The notion of human scale relates more to the existence of multiple relationships and bonds between people and between people and nature; human capital that stimulates cooperative/synergistic capacity and thus new value creation. De-industrialization in some places, rapid urbanization in others, combined with globalization, can lead to a culture that is indifferent to long-term sustainability and to the common interest. This occurs when urbanization is allowed to be destructive to local ecologies, natural resources including land and water bodies, and cultural resources including built heritage, building crafts, traditional knowledge and creative industries. In the urban context, this crisis can be referred to as the "de-humanizing" city. It is fueled by local decisions that are alternately autocratic and anarchic, and development patterns that promote social and spatial segregation and fragmentation. This social fragmentation fundamentally contradicts the fundamental value of the city that is diverse people living together for mutual benefits.

Cultural heritage has a formative role in overcoming this culture of narrow self-interest, indifferent to relationships and inter-dependencies, to the sense of the whole. Instead, cultural heritage is a particular example of a common good. It evokes the community that takes care, manages and valorizes its common good, stimulating a sense of co-belonging, cooperation, collaboration, and synergies and thus self-organization, self-governance and self-government. In the community, coordination of actions and cooperation is implemented concretely. Many best practices show the creation of a specific community in/for managing a cultural asset

(implementing the perspective of the "heritage community" in the parlance of the Council of Europe Framework Convention on the Value of Cultural Heritage for Society). The community is characterized by relationships and bonds, because values, meanings and sense are recognized and shared. European cities have gathered empirical evidence about the role of the cultural heritage for contributing to living together that is a fundamental aspect of human development. When the heritage is the outcome of cultural encounter or is shared by different groups with multiple meanings and layers of history, the processes of safeguarding and celebrating the properties and the practices around them provides opportunities for intercultural dialogue and social cohesion through mutual learning and recognition of the plural meanings.

ICOMOS is the only international organization of diverse professionals who are fundamentally concerned with the concept and care of historic urban areas. Some may be focused on economic opportunity, others on clean water, yet still more on preferment of youth. All vital and important but everything that happens in a place. Place is not abstract; it is real and holds meaning and ICOMOS is committed to stewardship of places nurturing culture and safeguarding heritage. This is one of the profound contribution to social cohesion is this sense of meaning associated with place.

Cultural Heritage and the UN Sustainable Development Goals

Despite a concerted, broad-ranging international campaign led by UNESCO and leading cultural organizations including ICOMOS, culture was not comprehensively incorporated into the SDGs. The key entry point for reinforcing the role of culture is Target 11.4 which calls for *“making cities and human settlements inclusive, safe, resilient and sustainable by strengthening efforts to protect and safeguard the world’s cultural and natural heritage.”*

In order to realize the potential of Target 11.4, ICOMOS advocates:

- Integrating cultural heritage into sustainable urban development.
- Adopting policies that recognize that local institutions and traditional knowledge systems play a key role as important resources essential for sustainable development.
- Integrating protection of heritage properties and their attendant values into efforts for inclusive social and economic development and poverty alleviation for the local communities so as to mutually benefit both communities and heritage properties.
- Legal frameworks for planning and development management that are transparent, participatory and incorporate the use of heritage and traditional settlement patterns and materials as a key component of livability and sustainability.

- Developing tools, instruments, and detailed guidelines for actions would help cities implement the goals and achieve their targets.

In addition, ICOMOS also sees the integration of culture and cultural heritage into the Agenda 2030 in implementing the following other SDG targets:

- Target 8.9 on sustainable tourism “...by 2030 devise and implement policies to promote sustainable tourism which creates jobs, promotes local culture and products.”
- The Open Working Group report (2014) includes cultural elements in several targets, including; the protection and safeguarding of the world’s cultural and natural heritage; innovation and creativity, the promotion of sustainable tourism, local cultures and produces; education on cultural diversity and the contribution of culture to sustainable development; and traditional knowledge (including goals 4.7, 8.9, 11.4, 12b).
- Target 11.7, provide, maintain and encourage access to safe, inclusive and multipurpose public space

Culture and Cultural Heritage will be critical in the implementation and successful accomplishment of these other targets.

Indicators and Metrics Needed to Support the Urban SDG

Differing significantly from prior goal setting efforts, the SDGs will each have specific metrics—indicators—to better ground the process of improving cities and towns across the globe. The current draft and metrics can be found here: <https://sustainabledevelopment.un.org/content/documents/7891Transforming%20Our%20World.pdf>

Determining the impact of SDG 11, the urban sustainability goal, relies on the choice of these indicators to monitor its implementation. In establishing any performance metrics, experts use a conceptual framework to guide and anchor their indicator selection. In the case of the urban SDG, the agreed-on framework holds that cities are interconnected systems (e.g. housing, transportation, and environment). Critical to sustainable development is clustering people and activities. Its underlying assumption is that the transformational potential of cities lies in the equitable and efficient planning and managing of urban land to foster the provision of urban systems that would maximize the benefits and minimize the costs of agglomeration.

Current planning practice holds that certain techniques are critical components of this approach. They include mixed land use, adaptive reuse of buildings, crafting walkable neighborhoods linked by public transportation, and reinforcing the use of natural systems through green infrastructure. The successful execution of these techniques requires land use efficiency: the alignment of land consumption with population growth to achieve compact, dense settlement patterns. Land use

efficiency is expressed as a ratio, for example, a baseline of 1:1 would indicate that the growth rates for population and land use are in equilibrium. This indicator, employed with others on housing, transportation, public space, the environment, resilience, and heritage offers holistic guidance to decision-makers in monitoring SDG 11. Traditional settlements exhibit models for high interaction, high quality, and high density historic urban areas.

Some of the indicators currently proposed for Target 11.4 fail to measure progress towards realizing such a holistic approach. An example of such a faulty indicator would be the proposal to measure Target 11.4 with reference to percent of budgets provided for maintaining cultural and natural heritage. ICOMOS proposes that any indicator adopted for Target 11.4 measure improvements in the integration of cultural and natural heritage conservation into sustainable, development plans and policies, and attendant funding programs.

Cultural Heritage and the New Urban Agenda

ICOMOS maintains that cultural heritage and particularly historic cities and settlements are a reference model for sustainable development. Historic cities demonstrate mixed uses, human scale, density and vibrancy. By their adaptation economically, environmentally and socially they also demonstrate resilience. In the evolution of historic cities, we see the lessons of adaptive reuse, which saves energy and maintains a sense of place. We also see the use of existing infrastructure which reduces the demand that sprawling urbanization of historic settlements places on budgets for new infrastructure.

Historic cities carry identity from generation to generation and encourage participation and communication across diverse peoples due to the mixing of uses, density and the intimate relationship among public spaces and private. In this way, they support the goals of social cohesion as well as sense of place and identity. Therefore, the sustainable development goal proposed for urban culture and heritage should be seen as critically important, not only for preserving and adapting our historic places but even more important as models for new urban settlements and the redevelopment of the unsuccessful sprawl that has characterized much of the last forty years. This would also provide an alternative to the pressures of growth and capacity to expand in cities with historic quarters.

While the previous Habitat goals for human settlements have focused on national level targets, we strongly recommend that the next goals and targets reflect the importance of urban and regional goals at the sub national level. Urban areas are where the challenges are and also where the innovation is happening. This is especially true in heritage management. UNESCO's adoption and promotion of the Historic Urban Landscape (HUL) recommendation in 2011

proposed the integration of cultural values, tangible and intangible, into an integrated urban strategy. This is a significant acknowledgement of the fundamental role cultural heritage and historic urban patterns play in creating sustainable places. These recommendations can be supported at the national level but they are to be implemented at the city and regional levels to enable accomplishing the seventeen global goals at the local/territorial level.

Several prerequisites are fundamental to the integration of cultural heritage into urbanization. These are not just for heritage considerations but fundamental to a functioning planning and development system. First, integrate heritage conservation as a priority within the urban planning framework. Second, establish a formal legal mechanism for recognizing and documenting individual sites, districts and cities of cultural and historic value. e.g. register of historic urban areas, official surveys and documentation; third, link this designations to programs and incentives for conservation and redevelopment of all urban areas. (note “urban” means human settlement including small towns and villages). Fourth prerequisite is land use regulations and financial incentives/disincentives that contain urban sprawl and focus funding on existing infrastructure and regeneration of existing urban areas, historic districts and neighborhoods. Such regulations may include for instance, growth boundaries, urban service boundaries, tax incentives for density and infill, tax credits for adaptive reuse, and design guidelines. And final prerequisite is innovative governance to engage civil society. Also of relevance here are the *Valletta Principles* on the intangible processes in historic urban environments.

- One of the ongoing processes related to the SDGs is the definition of indicators for each of the targets. In this exercise, culture and cultural heritage are only considered within the SDG Goal 11.4. The development of indicators requires enormous research and work. For instance, some possible indicators, and criteria for identifying them, that measure human well being change due to urban heritage transformations, from the perspective of the SDG Goal 11.4 might be
- Percentage of private and public direct expenditure cultural heritage and cultural activities as a percentage of the national Gross Domestic Product.
- Number of persons employed in activities and services in cultural and natural heritage sector as a share of total employment.
- Recognition of the UNESCO Historic Urban Landscape approach at the national level and the number of historic urban areas that are using HUL at the sub-national level.
- Integration of heritage conservation with urban development plans and policies
- Increase in the number of designated cultural districts.
- Recognition and protection of traditional street and open space patterns in planning policies.

- Requirement of Heritage Impact Assessments for new developments and constructions in the vicinity of historic urban areas
- Availability of heritage related interpretive material for local communities on cultural heritage to enable participatory processes
- Local examples of mixed use adaptive reuse of historic structures and streets—buy-in from the development community.
- Explicit elements of the city plan that describes and promotes conservation and appropriate reuse of cultural resources.
- The proportion of natural and cultural heritage with protected status as percentage of national territory surface.

Guidelines for Integrating Culture and Cultural Heritage in Urban Development

While one level of action is in identifying indicators that recognize the value of integrating cultural heritage conservation with inclusive and sustainable urban development, a second is to develop guiding actions that can help with such integration across the different goals and targets. At the moment, the actions of ICOMOS will remain in tandem with other ongoing international processes noted in the paper. Further, ICOMOS would like to propose guidelines for integrating culture and cultural heritage/landscape with socially and economically inclusive sustainable urban development. Such an approach would integrate culture and cultural heritage/landscape with urban planning, tourism development, infrastructure development, poverty alleviation, affordable housing, disaster risk reduction, and conservation of tangible and intangible heritage. Such guidance would also propose tools for assessing the way that conservation is implemented in a development perspective at the local level. And also aim to improve evaluation methods for comparing the multidimensional impacts coming from integrated conservation of heritage towards an "integrated cultural heritage impact assessment." for convincing public, private and social bodies that integrated conservation is an investment and not a cost.

Note:

An earlier version of this Concept Note was endorsed by ICOMOS CIVVIH by a resolution during their annual meeting in September 2015. This Concept Note was endorsed by the ICOMOS Task Force on Cultural Heritage and Sustainable Development in November 2015.

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ⁱ This concept is detailed out in the UNESCO Convention on Safeguarding Intangible Heritage of 2003.